

David Yin

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Academic Experience

Miami University

Frank H. Jellinek Jr. Endowed Assistant Professor 2021-present
Assistant Professor of Finance 2018-2021

Education

Ph.D. Finance, Minor: Econometrics, University of Arizona 2013-2018
M.A. Business Administration, Finance Major, University of Arizona 2012-2013
B.A. Business Administration, Finance Major, University of Arizona 2009-2012

Research Interests

Corporate Finance, Executive Incentives, Labor and Finance, Institutional Investors

Research

Published Papers

- [1] Peng, Q., Yin, S., Zhang, R., 2022. [Institutional Common Ownership and Diffusion of Accounting Practices: Evidence from Financial Statement Comparability](#). *Accounting Horizons*, forthcoming.
- [2] Pan, J., Weng, R., Yin, S. and Fu, X.M., 2022. [Central supervision and earnings management: Quasi-experimental evidence from China](#). *British Accounting Review*, 101082.
- [3] Kini, O., Williams, R., and Yin, S., 2021. [CEO Non-Compete Agreements, Job Risk, and Compensation](#). *Review of Financial Studies* 34, 4701-4744.
- [4] Ni, X., Wang, Y. and Yin, S., 2021. [Does Modern Information Technology Attenuate Managerial Information Hoarding? Evidence from EDGAR Implementation](#). *Journal of Corporate Finance*, 71, 102100.
- [5] Wang, Y. and Yin, S., 2021. [Hiring Retirement-age CEOs](#). *European Financial Management* 27, 641-665.
- [6] Peng, Q. and Yin, S., 2021. [Does the Executive Labor Market Discipline? Labor Market Incentives and Earnings Management](#). *Journal of Empirical Finance* 62, 62-86.
- [7] Ni, X., Peng, Q., Yin, S., Zhang, T., 2020. [Attention! Distracted Institutional Investors and Stock Price Crash](#). *Journal of Corporate Finance* 64, 101701.
- [8] Ni, X. and Yin, S., 2020. [The Unintended Real Effects of Short Selling in an Emerging Market](#). *Journal of Corporate Finance* 64, 101659.
- [9] Wang, Y. and Yin, S., 2018. [CEO Educational Background and Acquisition Target Selection](#). *Journal of Corporate Finance* 52, 238-259.
- [10] Ni, X. and Yin, S., 2018. [Shareholder litigation rights and the cost of debt: Evidence from derivative lawsuits](#). *Journal of Corporate Finance* 48, 169-186.

Working Papers

- [1] "Lucky Directors" (with Jarrad Harford and Robert Schonlau)

- [2] "The Information Advantage of Institutional Common Owners: Evidence from Stock Price Crash Risk" (with Qingyuan Li, Xiaoran Ni, and Eric Yeung)
- [3] "Policy Uncertainty, Corporate Risk-Taking and CEO Compensation" (with Mihai Ion) – *R&R – Journal of Corporate Finance*
- [4] "The Financial Policies of Organized Labor in the 21st Century" (with Alice Liu and Ryan Williams)
- [5] "Institutional Cross-ownership and Product Market: Evidence from Cost of Capital" (with Xiaoran Ni) – *2nd Round R&R – Journal of Banking and Finance*
- [6] "Creditor Rights, Ease of Repossession, and the Cost of Equity Capital: Evidence from Quasi natural Experiments" (with Xiaoran Ni)
- [8] "The Market for Corporate Control and Stock Price Crash Risk, Revisited" (with Xiaoran Ni and Emma Wang) – *2nd Round R&R – Journal of Banking and Finance*
- [9] "Trade Secrets Protection, Human Capital, and Debt Choice: Evidence from the Rejection of the Inevitable Disclosure Doctrine " (with Sabri Boubaker and Xiaoran Ni)

Permanent Working Papers

- [1] "Local tournament incentives and firm risk" – Dissertation

Note: A contemporaneous working paper by Ma, Pan, Stubben was accepted by *The Accounting Review* in 2020. My paper was submitted and accepted into the 2017 SFA, NFA, and FMA conference programs before their draft was made available.

Conferences and Invited Presentations

(* denotes co-author presentation)

2022: Swansea University, University of Massachusetts Amherst*, Financial Management Association, Southern Finance Association

2021: Texas A&M University*, Southeast University, Financial Management Association, Tsinghua University*, Colorado State University*, Cornell University*, Xiamen University*, Wuhan University*, Stevens Institute of Technology*, Tongji University*

2020: Cornell University*, Federal Trade Commission (FTC)*, Georgia State University*, University of Louisville, Nanjing University, Dayton Summer Finance Conference, Financial Management Association, Tongji University*

2019: University of Cincinnati, Miami University, Shanghai University of Finance and Economics, Eastern Finance Association, Nanjing University*, Nanjing University Of Finance Economics*, University of Lille*, Financial Management Association, Hunan University*; Southwestern University of Finance and Economics*; Xiamen University*; Zhongnan University of Economics and Law*

2018: ECGC Workshop on Governance and Control, Midwest Finance Association, University of Arizona, Financial Management Association*, University of Connecticut*, Auburn University*, Indian School of Business*, Financial Management Association*

2017: University of Wisconsin-Madison*, University of Mississippi, Babson College, California State University Fullerton, University of Manitoba, Miami University, Illinois State University, University of Arizona, ESCP-Paris*, Université Paris-Dauphine*, Financial Management Association, Northern Finance Association, Midwest Finance Association

2016: Northern Finance Association, Southern Finance Association, Financial Management Association European*, AAA Mid-Atlantic*, Midwest Finance Association*, Eastern Finance Association*, Southwestern Finance Association*

Media and Policy Coverage

- 2021: Wall Street Journal: "[Should Noncompete Clauses for Executives Be Legal?](#)"
2021: Bloomberg: "[BlackRock-Led 'Big Three' May Forestall Chaos in Stock Markets](#)"
2021: ETF Stream: "[Common ownership among 'Big Three' can 'reduce market crash risk'](#)"
2021: Sina Finance (Mandarin): "['Big Three'](#)"
2019: U.S. House of Representatives: [Hearing on "Antitrust and Economic Opportunity: Competition in Labor Markets"](#)
2018: Columbia Law School Blue Sky Blog: "[How Non-Compete Agreements Affect CEO Mobility, Job Security, and Compensation](#)"
2018: Forbes India: "[What do non-compete agreements cost CEOs?](#)"

Teaching

Courses taught at Miami University

- Fin 302: Intermediate Financial Management (Corporate Finance) 2021-present
Fin 303: Financial Modeling 2018-2020

Courses taught at the University of Arizona

- Fin 360: Quantitative Financial Management 2014-2016 Summer

Awards, Fellowships, & Grants

- John E. and Winifred E. Dolibois Faculty Development Fund Award 2022
Miami University Prodesse Quam Conspici Award 2022
Frank H. Jellinek Jr. Endowed Assistant Professor Chair 2021-present
Semifinalist for Best Paper in Corporate Finance, FMA 2018
AFA Doctoral Student Travel Grant, AFA 2016
Best Paper Award in Corporate Governance, SWFA 2016
Best Ph.D. Paper Award, AAA Mid-Atlantic 2016
University of Arizona Graduate Assistantship 2013-2018

Editorial Service

- Journal of International Financial Management & Accounting* (SSCI, ABDC B, ABS 2, IF 1.833, Scopus Q1)
Associate Editor 2022-present

- Humanities & Social Sciences Communications* (IF 2.772)
Associate Editor 2022-present

Referee Service

Journal of Financial and Quantitative Analysis, Management Science, Journal of Corporate Finance, Journal of Banking and Finance, Journal of Empirical Finance, Journal of Business Finance and Accounting, The Financial Review, European Financial Management, Finance Research Letters, International Review of Finance, International Review of Economics and Finance, North American Journal of Economics and Finance, Journal of Risk Finance

Conference Service

- 2022 Southern Financial Management Association (SFA) Discussant/Session Chair/Program Committee
2022 Financial Management Association (FMA) Discussant/Session Chair/Program Committee
2021 Financial Management Association (FMA) Discussant/Session Chair/Program Committee

2020 Financial Management Association (FMA)	Discussant/Session Chair/Program Committee
2019 Financial Management Association (FMA)	Discussant/Session Chair/Program Committee
2019 Southern Finance Association (SFA)	Program Committee
2019 Eastern Finance Association	Discussant/Session Chair
2019 Midwest Finance Association (MFA)	Discussant
2017 Midwest Finance Association (MFA)	Discussant/Session Chair
2016 Southern Finance Association (SFA)	Discussant
2016 Financial Management Association (FMA)	Discussant
2016 Southwestern Finance Association (SWFA)	Discussant
2015 Financial Management Association (FMA)	Discussant

Department Service

Tenure Track Search Committee	2022
Teaching, Clinical Professor and Lecturer (TCPL) Search Committee	2022
Dean's Faculty Advisory Committee	2021-present
Tenure Track Search Committee	2021
Visiting Assistant Professor Search Committee	2021
Best Practices in Future Teaching Committee	2021
Finance Advisory Board Meeting	2021
FIS Worldpay/Miami Finance Case Competition	2020
Miami University Mini-MBA	2020
Faculty Resource Person for Online Teaching	2020
Finance Department Seminar Series Organizer	2019-present
FSB Faculty Trek to San Francisco	2019

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